IN THE UNITED STATES PATENT AND TRADEMARK OFFICE.

Applicant : Timothy H. Heaton

Application No. : 10/661,763 Confirmation No.: 8158

Filed: September 11, 2003

For : GRAPHICAL USER INTERFACE RELATED TO NON-

STANDARD TRADING OF FINANCIAL

INSTRUMENTS

Group Art Unit : 3691

Examiner : Bijendra K. Shrestha

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

Sir:

Regarding the Examiner's Reasons for Allowance as set forth in the Notice of Allowability of August 5, 2010, Applicant does not necessarily agree with or acquiesce in the Reasons for Allowance, or with any other characterization or rejection of the claims that the Examiner may have made during prosecution of this application. Accordingly, this statement as well as any other statement, amendment, or silence on Applicant's part in no way means or implies that Applicant has in any way disavowed or abandoned any subject matter or claim scope as a result of the Examiner's characterizations or rejections.

In particular, regarding the Examiner's Reasons for Allowance, Applicant does not necessarily agree or disagree with the Examiner's comments regarding any analysis of the claims and references. In addition, Applicant does not necessarily agree or disagree with any characterization of the claims made by the Examiner in discussing the claims and references.

Furthermore, regarding the Examiner's Discussion of Cited Prior Art as set forth in the Notice of Allowability, Applicant does not necessarily agree or disagree with the Examiner's comments to the extent the comments deviate from Applicant's remarks as set forth in Applicant's Papers of March 1, 2010¹, May 21, 2010, and July 30, 2010.

Respectfully submitted,

/Glen R. Farbanish/

August 23, 2010
Date

Glen R. Farbanish Reg. No. 50,561 (212) 294-7733

¹ In the Discussion of Cited Prior Art, the Examiner refers to Applicant's response dated May 3, 2010. Applicant notes that there is no response in the present Application dated May 3, 2010. It appears the Examiner is referring to Applicant's response dated March 1, 2010 and Applicant will proceed as such.